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Title: Cost Effectiveness of Hematopoietic Stem Cell Transplantation Compared to Transfusion Chelation for Treatment of Thalassemia Major

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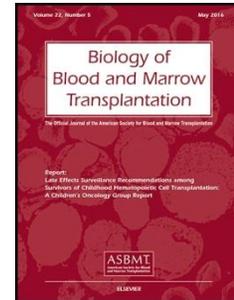
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1 **Cost Effectiveness of Hematopoietic Stem Cell Transplantation Compared to**  
2 **Transfusion Chelation for Treatment of Thalassemia Major**

3 **Running title:** Cost Effectiveness of HSCT in Thalassaemia Major

4

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45 **Authorship statement:** MJJ conceptualized the study, SP and GJ made the design. MJJ, AJ,

46 SA, AM, PB were involved in data acquisition and analysis. SP, GJ, PB, MJJ and AJ were

47 involved in the analysis of the data. MJJ, AJ, RM, SP, AM and GJ were involved in literature

48 search, drafting the manuscript and proof reading. MJJ and SP would be the ‘guarantor’ with  
49 regard to the integrity of the work.

50  
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## 57 **Highlights**

- 58 • HSCT for thalassaemia is a long-term value for money intervention in a  
59 developing country.
- 60 • The clinical and economic benefits of HSCT far outweigh Transfusion-Chelation.
- 61 • The ICER (QALY) with HSCT as compared to TC is  $\square$ 64,096 (US\$ 986) in  
62 matched related donor transplant.
- 63 • The probability of HSCT to be cost-effective at the WTP threshold of Indian GDP  
64 per capita is 94 %.

65

## 66 **Abstract:**

67 Hematopoietic Stem Cell Transplant (HSCT) is the only cure for thalassaemia major (TM)  
68 which inflicts a significant one-time cost. Hence, it is important to explore the cost-  
69 effectiveness of HSCT versus lifelong regular transfusion chelation (TC) therapy. This study  
70 was undertaken to estimate incremental cost per quality adjusted life year (QALY) gained  
71 with the intervention group HSCT, and the comparator group TC, in TM patients. A  
72 combination of decision tree and Markov model was used for analysis. Hospital database,  
73 supplemented with review of published literature were used to derive input parameters for the  
74 model. A lifetime study horizon was used and future costs and consequences were discounted  
75 at 3%. Results are presented using societal perspective. Incremental cost per QALY gained

76 with use of HSCT as compared to TC was  $\square$ 64,096 (US\$ 986) in case of matched related  
77 donor (MRD) and  $\square$ 1,67,657 (US\$ 2579) in case of a matched unrelated donor (MUD)  
78 transplant. The probability of MRD transplant to be cost-effective at the willingness to pay  
79 threshold of Indian per capita gross domestic product is 94 %. HSCT is a long-term value for  
80 money intervention which is highly cost-effective and its long term clinical and economic  
81 benefits outweigh TC.

82 **Key words:** Cost effectiveness; thalassaemia; transfusion chelation; HSCT, transplant; India

83

#### 84 **1. Introduction:**

85 Beta thalassemia is the commonest inherited hemoglobin disorder, which has an uneven  
86 distribution of 3.7 to 10% carrier state among different endogenous populations in India.<sup>1</sup>  
87 With an estimated 4.05% prevalence of beta thalassemia trait (BTT) in a population of 1.2  
88 billion and a birth rate of 23 per 1000 live births, the estimated homozygous births using  
89 Hardy Weinberg (HW) equation is 11,376 per year.<sup>2</sup> With a thalassaemia prevalence of 3.96  
90 % in Punjab<sup>3</sup> and using HW equation, it can be estimated that there would be 170  
91 thalassaemia major (TM) births per year. Late presentation, low hemoglobin maintenance  
92 and growth failure are the major challenges in managing patients with thalassaemia in  
93 Punjab, India.<sup>4</sup>

94 Transfusion-Chelation (TC) is the conservative medical care which requires multidisciplinary  
95 care with dedicated and experienced units.<sup>5</sup> TM represents a significant economic burden  
96 from the global health care perspective. An Italian study from 7 different centers evaluating  
97 the survival of a cohort of 977 patients who were born since 1960s and continued on regular  
98 transfusion and chelation with deferoxamine showed only 68% of patients were alive at the  
99 age of 35 years. The prevalence of complications was: heart failure 6.8%, arrhythmia 5.7%,

100 hypogonadism 54.7%, hypothyroidism 10.8%, diabetes 6.4%, HIV infection 1.7%, and  
101 thrombosis 1.1%.<sup>6</sup> Although, with better iron chelation techniques, safer blood transfusions  
102 and comprehensive care, the complications are expected to be reduced, a recent study from  
103 Lucknow, Uttar Pradesh (India) observed only 29/261 (11%) patients crossed the age of 20  
104 years.<sup>7</sup>

105 TM has serious life-limiting and potentially life-threatening complications that cause  
106 significant disruption in education and social activities..<sup>8</sup> Leading a “normal life” is a  
107 challenge as their self-identity is compromised and they become increasingly dependent upon  
108 others.<sup>9</sup> Transplantation is a rescue from such a predicament.

109 Hematopoietic stem cell transplantation (HSCT) with a human leukocyte antigen (HLA)  
110 identical matched related donor (MRD) or matched unrelated donor (MUD) is the only  
111 curative option available for TM.<sup>10</sup> Recently, the Italian group published 30-year overall  
112 survival (OS) and thalassemia-free survival (TFS) across the age and risk groups to be  
113  $82.6\pm 2.7\%$  and  $77.8\pm 2.9\%$  respectively.<sup>11</sup>

114 Nearly 71% of health spending in India is out of pocket (OOP).<sup>12</sup> This OOP spending poses  
115 barriers to accessing services, besides incurring catastrophic effects on those who utilize  
116 health care.<sup>13-15</sup> Cost constraints remain a major hurdle for patients to undergo HSCT for TM  
117 and many families continue to remain in TC therapy. Government initiatives like *Rashtriya*  
118 *Bal Swasthya Karyakram* (RBSK) focuses early identification and early intervention for  
119 children from birth to 18 years with thalassaemia where the blood transfusions and chelation  
120 therapy are covered.<sup>16</sup> Currently there are no state government funds offering HSCT. The  
121 annual treatment expenses of TC per patient have been reported to range from ₹ 41,515 (US\$  
122 629) in <5 years age group to ₹ 1, 51,836 (US\$ 2300) in >20 years age group.<sup>7</sup>

123 HSCT is an expensive treatment modality with economic consequences. There is a need for  
124 value-based assessment of HSCT using high-quality approaches to measure costs and  
125 outcomes to attain cost containment and make well informed decisions.<sup>17</sup>

126 A cost utility analysis from Thailand reported that reduced intensity HSCT is cost-effective  
127 as compared to blood transfusions combined with iron chelating therapy (BT-ICT).<sup>18</sup>. The  
128 present study intends to impress upon parents, analysts and the decision makers on the  
129 advantages and consequences of reallocating health care resources. We estimate the  
130 incremental cost per QALY gained (ICER- Incremental Cost Effectiveness Ratio) with HSCT  
131 (MRD and MUD) as compared to TC among TM patients India.

## 132 **2. Materials and Methods**

### 133 **2.1 Model Overview:**

134 A mathematical Markov model along with decision tree was parameterized on an MS Excel  
135 spreadsheet to estimate the incremental cost-effectiveness of HSCT as compared to TC for  
136 treatment of TM. Once patients are assigned to TC for treatment of thalassemia, decision tree  
137 was used to model their subsequent life course using a lifetime study horizon, in which a  
138 patient may develop iron overload complications (cardiac, liver and endocrine), transfusion  
139 transmitted infections (HBV, HCV and HIV) or die because of disease related complications  
140 or all-cause mortality (**Figure:1**). To model the life-course of patients assigned to HSCT, a  
141 Markov model with seven Markov transition states were considered; a) *1st year post HSCT*  
142 b) *2nd year post HSCT*, c) *Following years post HSCT*, d) *Chronic graft versus host disease*  
143 (cGVHD), e) *Transplant rejection* (returning to the TC arm). Apart from these health states,  
144 two absorbing states were also used; f) *death from Transplant related mortality* (TRM) and g)  
145 *death from natural causes*. The need for intensive health care services reduces from 1<sup>st</sup> to 2<sup>nd</sup>  
146 year following HSCT, with minimal follow-up services in the subsequent years. Similarly,

147 the consequences of HSCT were also different in 1<sup>st</sup> year, 2<sup>nd</sup> year and the subsequent years.

148 **(Figure:2)**

149 Incremental costs and effects of HSCT were compared against the baseline scenario of TC. A  
150 life-time study horizon with cycle length of one year was used in the analysis. Future costs  
151 and consequences were discounted at 3% for time preferences of cost and utility.  
152 Consequences were valued in terms of life years and quality adjusted life years (QALYs) in  
153 both intervention (HSCT) and comparator (TC) scenarios. Clinical, cost and effectiveness  
154 parameters were used to model the lifetime costs and consequences for a hypothetical cohort  
155 of 1000 thalassemia patients, who could be treated by either of treatment regimens. Cost  
156 effectiveness was assessed by estimating incremental cost per life year gained and per QALY  
157 gained (ICER) with Intervention using HSCT as against TC. The analysis was done  
158 separately for MRD and MUD transplants, as the overall cost and resulting benefits are  
159 different for both. Uncertainties in parameters were assessed in a series of sensitivity  
160 analyses, including probabilistic sensitivity analysis, and results are presented using societal  
161 perspective.

162

## 163 **2.2 Cost**

164 For both HSCT and TC scenario, cost was estimated by the rates of a charitable private  
165 tertiary care center, in India. **(Table:1)** The cost estimation for the TC was based on the  
166 frequency of blood transfusions, outpatient department (OPD) visits, investigations, chelation  
167 therapy and supportive care given during the complications based on the charges and current  
168 practices as per the guidelines from both the tertiary care center. TC costs were estimated  
169 separately for five different age categories: 6 months to 2 years, 3 to 5 years, 6 to 10 years, 11  
170 to 15 years and > 15 years. This stratification was done based on the difference in the  
171 intensity of transfusions, chelation and investigation requirements during different age  
172 intervals. All patients in this study were assumed to be started on transfusion from 6 months

173 of age and chelation with desferasirox was initiated orally from the age of 2 years. Although  
174 the Government of India is committed to provide the transfusions to TM patients at a  
175 subsidized cost, the actual cost of transfusion was included to reflect the true expenditure.  
176 Chelation cost was calculated based on the existing market rates of generic desferasirox for  
177 the median expected weight.

178

179 For the intervention group (HSCT), the accounts database of 57 TM patients aged between 1  
180 and 18 years who underwent HSCT was used. Subgrouping of HSCT into MRD (n=43) and  
181 MUD (n=14) was done as there were differences in cost of transplant and complications  
182 between these groups. The pre-transplant work-up costs was not included in either group.  
183 Inpatient HSCT costs from admission to discharge during transplant were obtained from the  
184 institutional accounts department. The 1<sup>st</sup> and 2<sup>nd</sup> year costs included readmissions for the  
185 management of acute GVHD, infections or any other post- transplant complications. The  
186 outpatient costs were modelled based on the frequency of visits and the immunosuppressive  
187 and other supportive care drugs and immunization during the 1<sup>st</sup> and 2<sup>nd</sup> year following  
188 HSCT. Treatment costs related to chronic GVHD were calculated on the basis of projected  
189 costs for scheduled OPD visits, laboratory tests as per institutional protocols,  
190 immunosuppressant therapy and other supportive care medications. Indirect costs  
191 (transportation, accommodation, opportunity and productivity costs) were not taken into  
192 account in both the groups. Various cost parameters used in the model have been described in

193 **Table:1.**

194 In this study, all costs are reported in Indian National Rupee (₹) and US Dollars (US\$) using  
195 the average conversion of 1 US\$= ₹ 65 in 2017.<sup>19</sup>

### 196 *2.3 Valuation of consequences*

197 The efficacy of the two treatment options was assessed in terms of life years and quality  
198 adjusted life years (QALYs) lived in both the HSCT and TC scenarios. For the base case

199 analysis in HSCT group, the rejection rate used was 2.3% in MRD and 7% in MUD groups  
200 (**Table:1**). Patients developing rejection were assumed to continue TC for the rest of their  
201 lives and their costs and consequences were modelled similar to a patient of TM on TC. The  
202 first year transplant related mortality rates in MRD group (12.4%) and MUD group (14.3%)  
203 used in the model was based on the study cohort with rejection predominantly occurring  
204 within the first year post HSCT.

205

206 Proportion of patients developing cGVHD was 14% and 43% in MRD and MUD groups  
207 respectively. The occurrence of cGVHD was limited to the first two years in this modelling.  
208 Mortality of patients entering third year post HSCT was assumed to be similar to all cause,  
209 age-wise probability of death as obtained from the Census of India Sample Registration  
210 System life tables.<sup>20</sup> Health related quality of life utility values were assigned to each of  
211 health states from published literature (0= death and 1= full health). In the absence of specific  
212 studies on the utility of TM patients undergoing HSCT, a value of 0.61 was assigned for first  
213 and second year.<sup>21,22</sup> The utility of HSCT patients from 3<sup>rd</sup> year onwards was assigned to be  
214 0.93 based on the quality of life in patients who have undergone the hematopoietic stem cell  
215 transplantation for other diseases (Acute myeloid leukemia, non-Hodgkin lymphoma and  
216 Hodgkin lymphoma).<sup>23</sup> Error! Bookmark not defined.

217 A patient undergoing TC was modelled to develop iron overload complications (cardiac, liver  
218 and endocrine), transfusion transmitted infections (HBV, HCV and HIV) or die because of  
219 disease related complications or all-cause mortality. (**Table:1**) It was assumed that eventually  
220 every patient on TC group will develop a complication and continues with it for the rest of  
221 his/her life. On the basis of published literature, it was further assumed that the initiation and  
222 median age of developing iron overload complications was 3 years and 16 years respectively.  
223 It was also assumed that all the patients in TC group would develop iron overload by the age  
224 of 25 years, based on expert opinion. Once a patient has developed HBV, HCV or HIV, life

225 years and QALYs, were calculated by separate Markov models according to our previously  
226 reported study.<sup>24</sup> The age-wise probability of dying from all-cause was obtained from the  
227 Census of India Sample Registration System life tables.<sup>20</sup> Health related quality of life utility  
228 values were assigned to each of health states from published literature as referenced.  
229 ICER was estimated as the ratio of difference in costs and the difference in effectiveness  
230 between HSCT and TC.

$$ICER (QALY) = \frac{Cost (HSCT) - Cost (TC)}{QALY (HSCT) - QALY (TC)}$$

231

## 232 **2.4 Sensitivity Analysis**

233 The effect of joint parameter uncertainty was analyzed by applying a probabilistic sensitivity  
234 analysis (PSA). Upper and lower bounds of ICER were estimated using the PSA, which was  
235 done using Monte Carlo method by simulating the results over 999 times. In order to do PSA,  
236 the cost parameters and health related quality of life utility values were varied 20% on either  
237 side of the base value. Discount rate was varied from 2% to 5%. The threshold cost of HSCT  
238 procedure below which the strategy remains cost-effective at a willingness-to-pay threshold  
239 was equal to the per capita gross domestic product. This was analyzed separately for MRD  
240 and MUD.

241 International society for pharmacoeconomics and outcomes research (ISPOR) task force,  
242 consolidated health economic evaluation reporting standards (CHEERS) statement was used  
243 to describe different aspects of methods used in the study.<sup>25</sup>

244

245

## 246 **3. Results**

### 247 **3.1 Cost and Cost Effectiveness**

248 Based on our model estimates, number of life years lived per thalassemia patient receiving  
249 TC, HSCT (MRD) and HSCT (MUD) are 21.8, 38.4 and 36.5 years respectively. Further,  
250 number of quality adjusted life years (QALYs) lived per thalassemia patient receiving TC,  
251 HSCT (MRD) and HSCT (MUD) are 18.2, 35.1 and 33.3 year respectively (**Table:2**).  
252 Lifetime treatment cost incurred per TM patient was ₹ 12, 98,579 (US\$ 19,978) for TC, ₹  
253 18, 32,461 (US\$ 28,191) for HSCT (MRD) and ₹ 28,36,547 (US\$ 43,649) for HSCT (MUD)  
254 groups. Accounting for comparative survival benefit, we estimated per life year costs  
255 incurred for TC, HSCT (MRD) and HSCT (MUD) to be ₹1,27,369 (US\$ 1959), ₹89,080  
256 (US\$ 1370) and ₹145,663 (US\$ 2240) respectively.

257

258 We found HSCT (MRD) incurs an additional cost of ₹59,560 (US\$ 916) per life year gained  
259 (ICER) as compared to TC, which is less than half the per capita gross domestic product  
260 (GDP) of India (₹ 1, 20,300, US\$ 1861).<sup>26</sup> In case of MUD, this incremental cost is ₹1,  
261 65,766 (US\$ 2250) per life year gained.

262 With respect to cost per QALY gained, HSCT (MRD) and HSCT (MUD) incurred an  
263 additional cost of ₹ 64,096 and ₹ 1, 67,657 respectively as compared to TC. (**Table:2**).

264

### 265 **3.2 Sensitivity Analysis**

266 In our probabilistic sensitivity analysis, we found HSCT (MRD) has a 94 % probability of  
267 being cost-effective at a willingness to pay threshold equal to per capita GDP of India.  
268 (**Figure:3**). Threshold analysis suggests that if the initial cost of HSCT (MRD) is under ₹ 12,  
269 00,000, it would be a dominant (less costly, more effective) strategy in TM patients as  
270 compared to TC (**Figure:4**). It further suggests that HSCT continues to be cost- effective in  
271 comparison with TC, even up to a cost of procedure less than ₹24,00,000 (US\$ 36,923) in  
272 case of MRD and ₹ 22,50,000 (US\$ 34,615) in case of MUD (**Figure:5**).

273

274 **4. Discussion:**

275 Our study compared the cost-effectiveness of HSCT vs TC and demonstrated HSCT to be  
276 highly cost-effective in the societal perspective. It was robust across all the sensitivity  
277 analyses and the cost-effectiveness acceptability curves suggested a 94% probability of  
278 HSCT (MRD) being cost-effective compared to TC using a willingness to pay threshold  
279 which equals to the GDP per capita. **(Figure: 3)**

280

281 Cost-effectiveness analysis (CEA) is a form of economic analysis that compares the relative  
282 costs and outcomes (effects) of different courses of action. With increasing costs in medical  
283 care, there is an imminent need to undertake economic evaluations, for policy makers to use  
284 these tools for rational allocation of resources.<sup>27</sup>

285

286 United Kingdom's NICE (National Institute for Clinical Excellence) appraises an  
287 intervention to be cost-effective on clinical (how well the treatment work) and economics  
288 (does it represent the value for money) with the implicit cost-effectiveness threshold ranging  
289 between £20,000 and £30,000 per quality adjusted life year (QALY) gained. In USA it is  
290 US\$ 50,000/QALY gained and the difference is because of the different denominator used in  
291 its computation.<sup>28</sup>

292

293 The recommendations of the commission on Macroeconomics and Health of World Health  
294 Organization, suggests that health technologies with the ICER below the per capita GDP are  
295 considered very cost-effective, while those between one and three times per capita GDP  
296 being cost-effective, and ICER above three times per capita GDP indicate that a health  
297 technology is not cost-effective.<sup>18,29</sup> More recently, however, use of 1-time GDP per capita  
298 threshold has been recommended as more appropriate than 3-times GDP per capita.<sup>30,31</sup> Even

299 at this lower threshold, HSCT (MRD) has a 94% probability to be cost-effective, given all the  
300 parameter uncertainties, which strengthens the conclusion about cost-effectiveness of the  
301 intervention. **(Figure:3)**

302 The discounted incremental cost-effectiveness of the HSCT (MRD) group was  $\square$ 59,560 (US\$  
303 916) per life year gained and  $\square$  64,096 (US\$ 986) for every QALY gained which is only half  
304 of India's GDP per capita ( $\square$  1,20,300, US\$ 1861.5), suggesting this to be a "very cost-  
305 effective" approach. **(Table:2)**

306 A cost-utility analysis of HSCT among children aged 1 to 15 in Thailand showed that  
307 incremental cost-effectiveness ratio for MRD at different ages ranged between US\$ 2373 to  
308 US\$ 5382 per QALY gained as compared to TC. This was likely to be cost-effective for  
309 young children with severe thalassemia in Thailand at societal willingness to pay of US\$  
310 2942. For patients undergoing MUD transplant, incremental cost per QALY gained ranged  
311 between US\$ 6147 to US\$ 28,029 as compared to TC.<sup>32</sup> Secondly, the Thai study reported  
312 that the ICERs of both MRD and MUD HSCT increase with patient age. This implies that  
313 HSCT given at an earlier age is likely to be more cost-effective. Undertaking such an  
314 analysis required more stratified data in terms of effects of HSCT, complications of patients  
315 receiving TC at different age intervals, and the quality of life of patients who undertake  
316 HSCT at different age. Since, such disaggregated country specific data is not available for  
317 India currently, it is recommended to generate such data and undertake a sub-group cost-  
318 effectiveness analysis in future in Indian context to generate evidence on cost-effectiveness of  
319 performing HSCT at different ages.

320 In another cost utility analysis study from Thailand among adolescent and young adults with  
321 thalassaemia, HSCT (MRD) showed an incremental cost per QALY gained to be US\$ 3236  
322 compared to transfusion and chelation.<sup>18</sup> In our study, the median age of transplant patients  
323 were 9 years ranging from 1 to 18 years and indirect medical costs were not included.

324 Although the utility assigned were similar in both the studies, and amount in dollars in India  
325 was much lower, direct comparison is not advisable as the expenditure pattern and GDP per  
326 capita are different in both the countries.

327 According to a study from India, the median cost of an allogeneic transplant in a cohort of  
328 predominantly TM patients (31.5%) at the time of initial discharge was ₹ 11,64,410 (US\$  
329 17,914). This was a one-time cost incurred during the initial admission till first discharge.<sup>33</sup>  
330 In another study, the cost of allogeneic HSCT in India ranged between ₹ 9,75,000 to  
331 13,00,000 (US\$ 15,000 - 20,000).<sup>34</sup> These studies were done for varied indications and did  
332 not take re-admissions into account and were at different time frames.

333

334 In our study, the median cost incurred for MRD group for the first one year was ₹ 16,92,597  
335 (US\$ 26,039) which included repeat admissions for various indications including  
336 management of acute GVHD and estimated cost of OPD investigations and medications for  
337 one year. (**Table: 1**)

338

339 According to a study from Chennai, the cost of MUD transplant in India for TM has been  
340 approximately ₹ 25,00,000 (US\$ 38,461) including procurement of the stem cells per child  
341 per HSCT.<sup>27</sup> In our study, the average cost incurred for MUD transplant was ₹ 27,17,977  
342 (US\$ 41,815) including the acquisition of cells (from either DKMS (German) or DATRI  
343 (Indian) donor registries), repeated admissions for infection and estimated OPD follow up for  
344 the first year. (**Table:1**)

345

346 The cost incurred in managing the patients in second year was higher in the MUD transplant  
347 group (₹ 2,11,767, US\$ 3257) as compared to the MRD transplant group (₹ 1,45,915, US\$  
348 2244) including admissions and estimated OPD visits and scheduled investigations. This is

349 attributed to the higher incidence of GVHD and infection among the MUD transplant group.

350 **(Table:1)**

351

352 Conditioning regimen used in this cohort of patients were Thiotepa/Treosulfan/Fludarabine  
353 regimen which is much more expensive than busulfan/cyclophosphamide conditioning  
354 regimen as the drugs have to be imported and comprised 30-40% of the total transplant cost.  
355 With many Indian companies now manufacturing the generic drugs, the cost of TM transplant  
356 is bound to reduce further in the coming years.

357 We acknowledge certain limitations of our study. First, the survival data and transition  
358 probabilities for HSCT patients were obtained from a single institution database. Second,  
359 indirect costs (transportation, accommodation, opportunity and productivity costs) were not  
360 taken into account in both the groups. However, given the savings in indirect costs would  
361 have been much more in the HSCT arm; it would have made the HSCT procedure even  
362 further cost-effective and does not bias our conclusion. Third, utility values were assigned  
363 based on literature review and this may change in the social context in India. Fourth, the  
364 direct medical costs of HSCT were taken from only a single institution. However, the costs  
365 represent a societal perspective, and are rather on a higher side than what has been reported  
366 earlier. Lastly, in the TC group, the medical costs were modelled based on the accepted  
367 practices on the basis of recommended guidelines. However, the values matched with the  
368 recently published literature from India based on actual costs.<sup>7</sup>

369 The major deterrents for the patient's family to make the decision to undergo HSCT are high  
370 initial upfront costs and dilemma arising from the 10 -20% possibility of immediate mortality  
371 with HSCT in juxtaposition to deferred risk to life with TC. This predicament leads them to  
372 the classical cognitive "omission bias" and "loss aversion" where the "inaction" of continuing  
373 the TC seems morally more compelling than the "action" of HSCT. On the contrary

374 “commission bias” occurs by discounting the risks posed by the procedure and  
375 overestimating the likelihood of success and a poor outcome scenario can pave the way for  
376 ‘regret and anguish’ for the family.<sup>35</sup> Appropriate counselling with regard to significant  
377 improvement in outcome of stem cell transplantation and thalassaemia free survival between  
378 77 - 95% across the different risk stratifications <sup>36</sup> with realistic expectations and providing  
379 adequate governmental and NGO funding will help mitigate this problem.

380 Adopting an explicit cost-effectiveness threshold facilitates consistency and transparency in  
381 the decision-making process and helps many patients to access transplant as a permanent  
382 cure especially in younger age group patients. CSR (Corporate social responsibility) project  
383 by Coal India Pvt limited in collaboration with Ministry of Health And Family Welfare  
384 “*Thalassaemia Bal Sewa Yojna*” is one such project which gives financial assistance of ₹ 10  
385 lakhs per patient ages less than 10 years. Prime minister’s relief fund also provides upto ₹3  
386 lakhs which subsidizes transplant of thalassaemia patients. There are many other NGOs  
387 which support transplantation in such patients.

388 In our model, mean life years lived by a TM patient in the TC group was 21 years and in  
389 HSCT (MRD) was HSCT (MUD) groups it was 38 and 36 years which reflects HSCT  
390 doubles expected life span of the patient. (**Table:2**)

391 Universal health coverage is a major policy goal of the 12th Five Year Plan. The Government  
392 of India aspires to provide coverage for all essential services at a cost which the persons can  
393 afford. The Government of India has set up Health Technology Assessment Board (HTAB) to  
394 guide the Ministry of Health and Family Welfare on choosing cost-effective interventions for  
395 various programs.<sup>37</sup> Several state governments are also developing plans to enhance coverage  
396 of services. Against this background, there is a need to choose the interventions wisely so as  
397 to have best value for money.

398 With a definite long-term cost efficacy in HSCT and it being the only curative option for the  
399 patients with TM, it is time for the state governments to include this in health schemes like  
400 RBSK and extend the support for wider population. In the absence of infrastructure and  
401 expertise in the government medical colleges, collaborating with the private sector and  
402 fostering a partnership through Public Private Partnership (PPP) for providing services-  
403 subsidized transplant would be the way forward in reducing the societal burden and  
404 increasing the productivity of its citizens by extending the life spans. Various publicly  
405 financed insurance schemes should support HSCT for TM patients. In the long-term, capacity  
406 and infrastructure should be developed in the public sector to provide HSCT services.

407 Economic organization of a society, not merely in transactional terms, but as a moral issue, is  
408 inextricably linked to individual rights and dignity. HSCT remains the only curative option  
409 for patients with thalassaemia major which is highly cost-effective throughout the patient's  
410 lifetime. This study provides the necessary evidence for the policy makers to make a well  
411 informed decision for requisite resource allocation for HSCT which will enable a long-term  
412 value for money intervention for TM.

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417 SA, AM, PB were involved in data acquisition and analysis. SP, GJ, PB, MJJ and AJ were  
418 involved in the analysis of the data. MJJ, AJ, RM, SP, AM and GJ were involved in literature  
419 search, drafting the manuscript and proof reading. MJJ and SP would be the 'guarantor' with  
420 regard to the integrity of the work.

421  
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423

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425

426 **Data statement:** This study was a model based economic evaluation. We reviewed various

427 literatures to draw parameter values. No primary data was collected as part of this study;

428 hence no data is available for sharing. All parameters which were sourced from published

429 literature is available in the manuscript.

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551 **Figure title and legends**552 **Figure 1: Decision tree used for modelling Transfusion Chelation arm of the model**553 **Figure 2: Markov model used for Hematopoietic Stem Cell Transplant**554 **Figure 3: Cost effectiveness acceptability curve at different levels of willingness to pay  
555 for HSCT (MRD)**556 **Figure 4: Threshold analysis at different levels of HSCT (MRD) cost**557 **Figure 5: Threshold analysis at different levels of HSCT (MUD) cost**

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559

560 **Table 1: Input parameters used in the base analysis of the model**

<b>Input Parameters for HSCT Arm of Model</b>		<b>Reference</b>
Proportion of patients developing rejection (MRD)	0.023	CMCL database
Proportion of patients developing rejection (MUD)	0.07	CMCL database
Proportion of patients having Transplant Related Mortality (MRD)	0.124	CMCL database
Proportion of patients having Transplant Related Mortality (MUD)	0.143	CMCL database
Proportion of patients developing Chronic GVHD (MRD)	0.14	CMCL database
Proportion of patients developing Chronic GVHD (MUD)	0.43	CMCL database
Utility score of a patient in the first year post-HSCT	0.61	21
Utility score of a patient in the second year post-HSCT	0.61	22
Utility score of a patient post-HSCT in the following years	0.93	23
Utility score of a patient in chronic GVHD	0.9	37
Cost incurred in first year after HSCT (MRD)	□ 16,92,597.22	CMCL database
Cost incurred in second year after HSCT (MRD)	□ 1,45,915.8	CMCL database
Cost incurred in first year after HSCT (MUD)	□ 27,17,977.25	CMCL database
Cost incurred in Second year after HSCT (MUD)	□ 2,11,767	CMCL database
Cost incurred in following years after HSCT	□ 880	Modelled
Cost incurred in treating Chronic GVHD	□ 1,09,070	Modelled
<b>Input Parameters for Transfusion Chelation (TC) Arm of Model</b>		
Proportion of patients having cardiac complications	0.091	38
Proportion of patients having liver complications	0.1	39
Proportion of patients having endocrine complications	0.5382	40
Proportion of patients having HBV	0.0104	41
Proportion of patients having HCV	0.25	41
Proportion of patients having HIV	0.0104	41
Utility score of a patient of Thalassemia having no complication	0.93	21
Utility score of a patient of Thalassemia having cardiac	0.8525	42

complications

Utility score of a patient of Thalassemia having 0.8666 42

endocrine complications

Cost of managing thalassemia patients having cardiac complications (In first year)  3,31,068.33 Modelled

Cost of managing thalassemia patients having cardiac complications (In following years)  3,01,668.33 Modelled

Cost of managing thalassemia patients having liver complications (In first year)  3,36,518.33 Modelled

Cost of managing thalassemia patients having liver complications (In following years)  2,96,778.33 Modelled

Cost of managing thalassemia patients having Endocrine complications (In first year and following years)  1,07,535.47 Modelled

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561 Abbreviations: HSCT: Haematopoietic stem cell transplant, MRD: Matched Related Donor,  
 562 MUD: Matched Unrelated Donor, GVHD: Graft versus host disease, CMCL: Christian  
 563 Medical College, Ludhiana

564

565 **Table 2: Outcomes and Cost- effectiveness of Haematopoietic Stem Cell Transplant as**  
 566 **compared to Transfusion Chelation.**

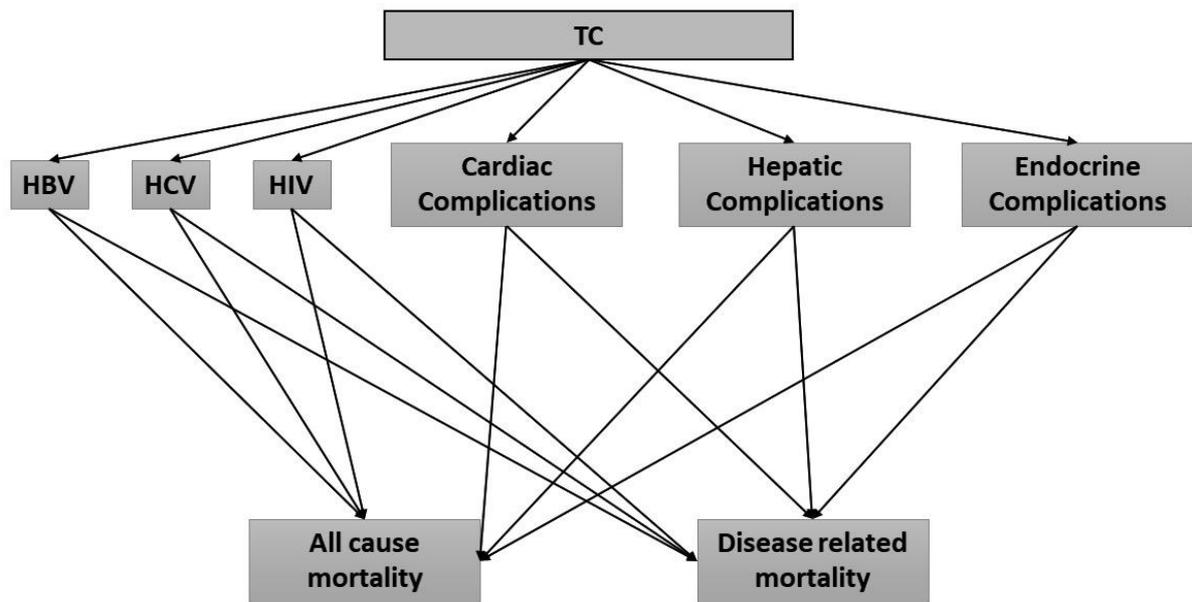
	TC	HSCT (MRD)	HSCT (MUD)
<b>Life years lived by</b> (Years)		(Years)	(Years)
<b>1000 subjects</b>			
Undiscounted	21,828.12	38,411.13	36,497.85
Discounted	10,195.41	20,570.86	19,473.31
<b>Quality Adjusted Life</b> (Years)		(Years)	(Years)
<b>Years</b>			
Undiscounted	18,227.14	35,130.35	33,295.77
Discounted	8,363.81	18,568.78	17,537.11
<b>Cost effectiveness of HSCT as compared to TC in Rupees (₹)</b>			
		MRD	MUD
<b>ICER per Life Year gained (Discounted)</b>		₹ 59,559.59	₹ 1,65,766.35
<b>ICER per QALY gained (Discounted)</b>		₹ 64,096.12	₹ 1,67,656.58

567 Abbreviations: TC: Transfusion Chelation, HSCT: Hematopoietic Stem Cell Transplant,  
 568 MRD: Matched Related Donor, MUD: Matched Unrelated Donor ICER: Incremental Cost  
 569 Effectiveness Ratio, QALY: Quality Adjusted Life Year

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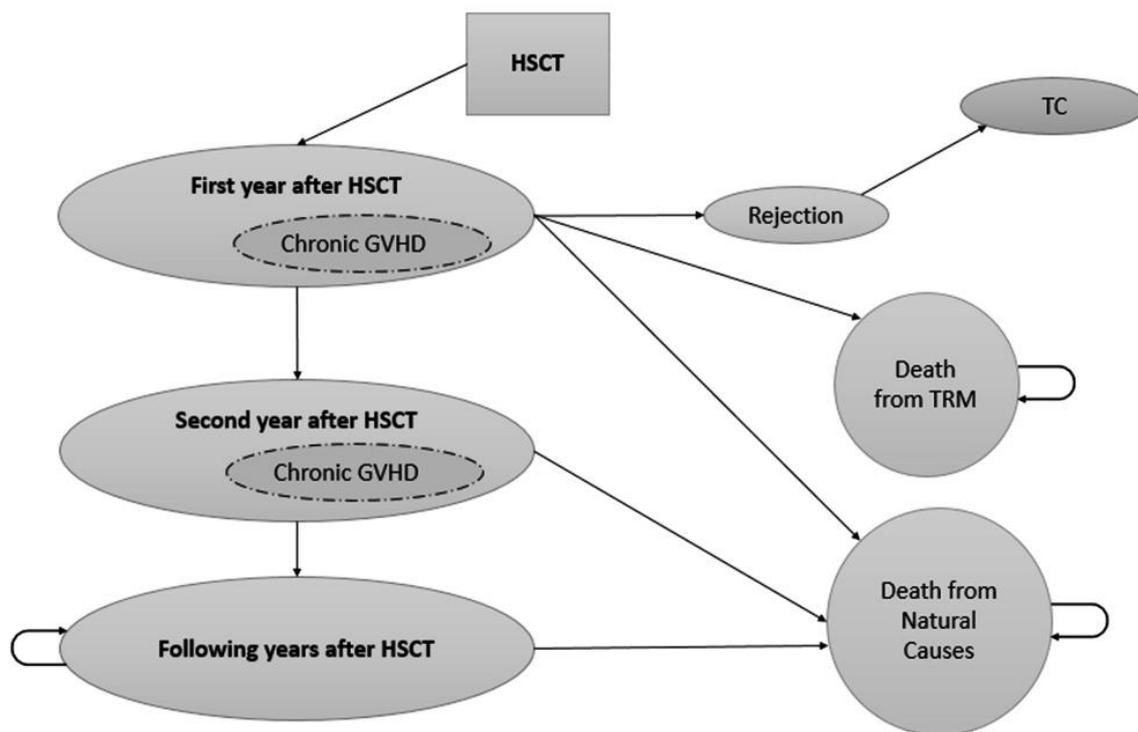


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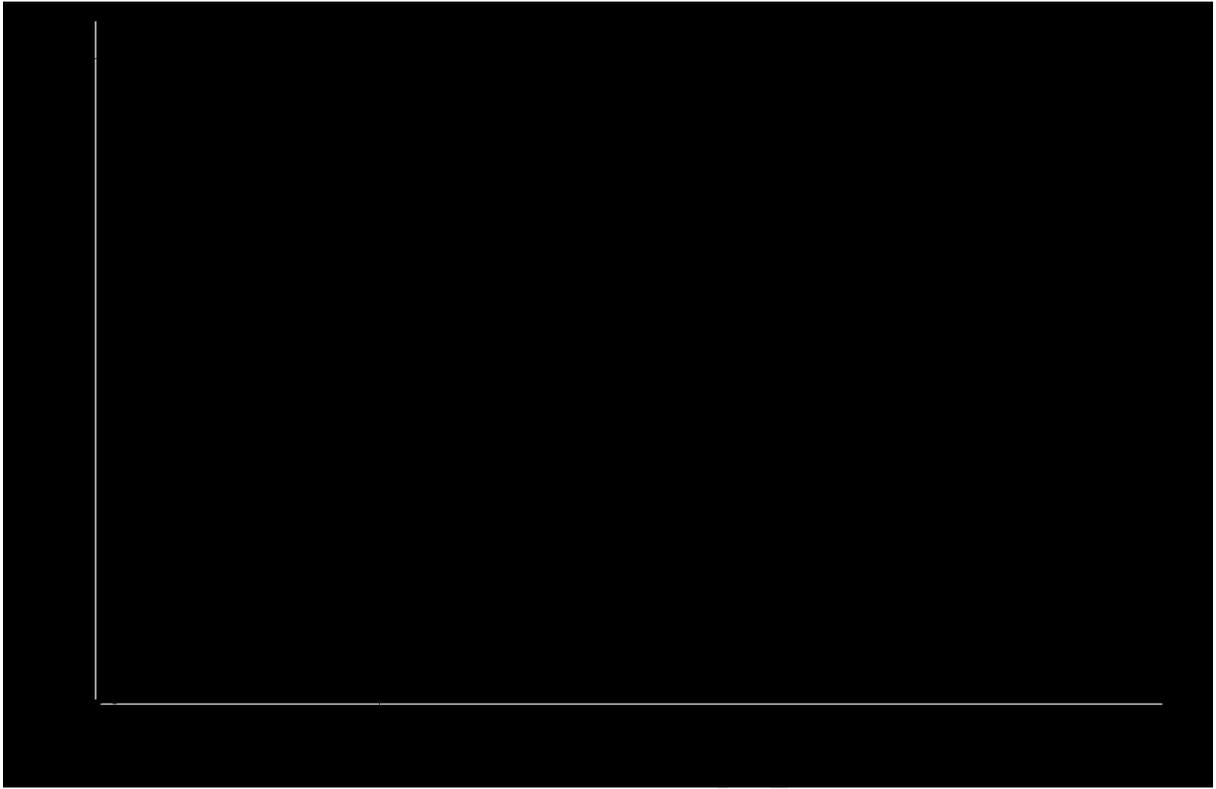


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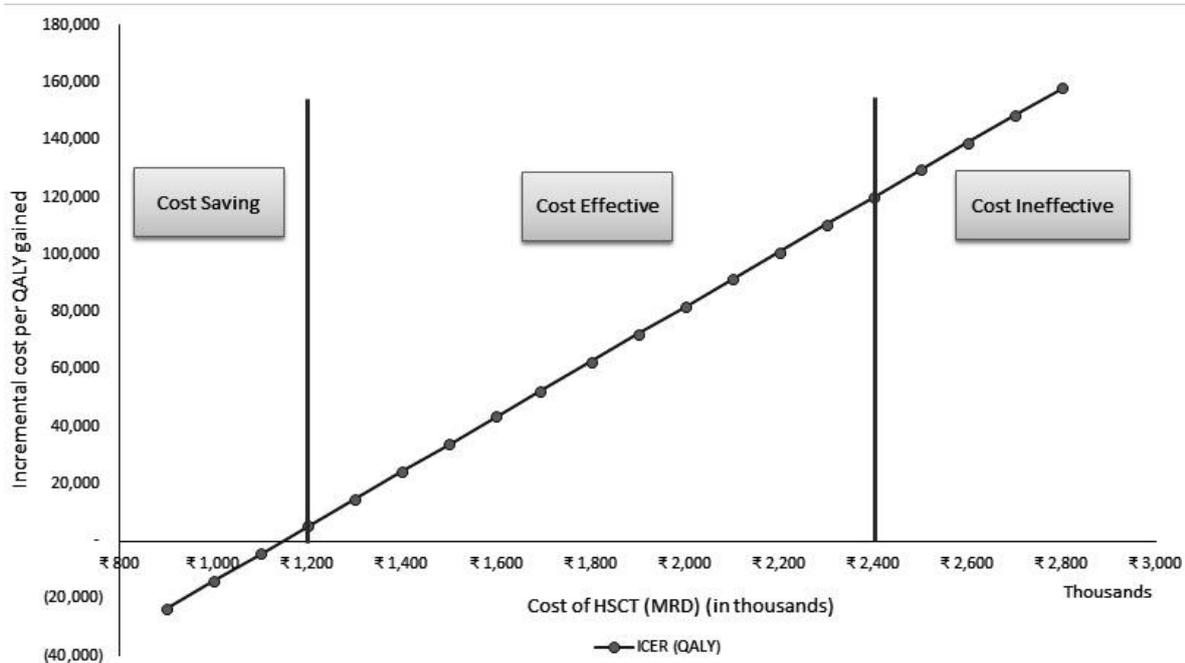


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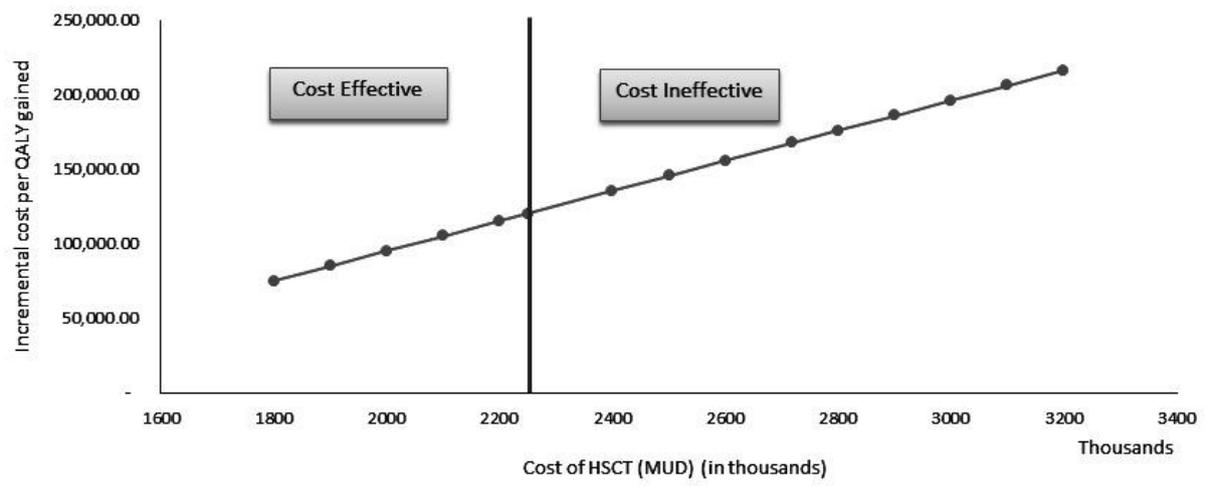


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